

Patent Application

Attorney Docket No. DEM1P003

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: LEE et al.

Attorney Docket No.: DEM1P003

Application No.: 09/741,956

Examiner: Heck, Michael C.

Filed: December 20, 2000

Group: 3623

Title: ECONOMETRIC ENGINE

Confirmation No.: 7270

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Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**November 30, 2005**

Dear Sirs:

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 30, 2005.

Signed: \_\_\_\_\_

Kang S. Lim

**INFORMATION DISCLOSURE STATEMENT FILED**

**UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)**

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

12/06/2005 NR0CHA1 00000017 09741956

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\_\_\_ 37 C.F.R. § 1.97(b). This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits or, (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement.

X 37 C.F.R. § 1.97(c). This Information Disclosure Statement is filed after the period specified in C.F.R. § 1.97(b), but is believed to be filed before the mailing date of either (i) a final action under § 1.113 or, (ii) a notice of allowance under § 1.311.

\_\_\_ A certification pursuant to 37 C.F.R. §1.97(e) or

X A Credit Card Payment Form authorizing the charge of \$180.00 for the fee under 37 C.F.R. § 1.17(p).

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198. The Commissioner is authorized to charge any additional fees that may be due to our Deposit Account No. 50-2766 (Order No. DEM1P003).

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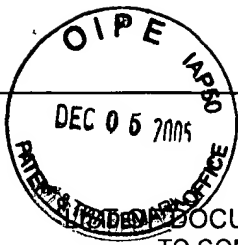
Respectfully submitted,



Kang S. Lim  
Attorney for Applicant(s)  
Reg. No. 37,491

**CUSTOMER NO. 36088**

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U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
DEM1P003

SERIAL NO.  
09/741,956

DOCUMENTS CITED BY APPLICANT  
TO COMPLY WITH 37 C.F.R. 1.56

APPLICANT

LEE

FILING DATE  
12/20/00

GROUP  
3623

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL* |    | DOCUMENT<br>NUMBER | DATE       | NAME              | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|----------------------|----|--------------------|------------|-------------------|-------|----------|-------------------------------|
|                      | AA | 6,910,017          | 06/21/05   | Woo et al.        |       |          |                               |
|                      | AB | 6,546,387          | 04/08/03   | Triggs            |       |          |                               |
|                      | AC | 2002/0198794       | 12/26/02   | Williams et al.   |       |          |                               |
|                      | AD | 2002/0123930       | 09/05/02   | Boyd et al.       |       |          |                               |
|                      | AE | 2002/0107819       | 08/08/02   | Ouimet            |       |          |                               |
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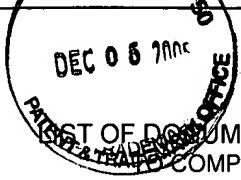
## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|  | BA | "KhiMetrics Helps Retailers Increase Margins With Two New Tools for Their Retail Revenue Management Application Suite." PR Newswire, March 1, 2001.       |  |  |  |  |  |
|  | BB | "KhiMetrics and Retek Form Marketing Alliance for Comprehensive Retail Pricing Solution." PR Newswire, February 19, 2001.                                 |  |  |  |  |  |
|  | BC | Barth, Brad, "ShopKo Holds the Price Line." Daily News Record, page 10, October 4, 2000   |  |  |  |  |  |
|  | BD | Barth, Brad, "Shopko Tests Automated Markdowns", WWD 10/04/00, pages 1-3.   |  |  |  |  |  |
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|  | BG | "IMRglobal Signs New Product Implementation Agreement with Retek to Improve Retail Pricing and Markdown Process." Business Wire, page 1590, June 7, 2000. |  |  |  |  |  |

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|  |    |  |               |                              |                          |
|--|----|--|---------------|------------------------------|--------------------------|
|    |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE   |               | ATTY. DOCKET NO.<br>DEM1P003 | SERIAL NO.<br>09/741,956 |
|  |    | APPLICANT<br>LEE   |               |                              |                          |
|  |    | FILING DATE<br>12/20/00  | GROUP<br>3623 |                              |                          |
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|  | BI | "New Tools for Modeling Elasticity, Optimizing Prices and Collecting Live Pricing from the Web to Debut at Retail Systems 2000 in Chicago." Business Wire, page 1484, April 18, 2000.          |               |                              |                          |
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| EXAMINER   |    | DATE CONSIDERED  |               |                              |                          |
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